



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Kenji Ootsu, et al.

Serial No.: 10/816,622

Filing Date: April 2, 2004

Title: Method of Processing End  
Portions of Optical Fibers and  
Optical Fibers Having Their  
End Portions Processed

Examiner:

Art Unit: 2874

November 8, 2004  
San Francisco, California

Mail Stop Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandra, VA 22313-1450

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicants respectfully submit the following information disclosure statement.

The undersigned certifies that each of the following references was contained in a communication in a counterpart foreign application not more than three months prior to the filing of this statement.

The disclosed references are:

JP Kokai Publication No. H07-209308, Japanese Patent Office, published August 11, 1995, with English abstract..

JP Kokai Publication No. H07-151769, Japanese Patent Office, published June 16, 1995, with English abstract..

JP Kokai Publication No. H09-304632, Japanese Patent Office, published November 28, 1997, with English abstract..

JP Kokai Publication No. H11-504123, Japanese Patent Office, published April 6, 1999, with front page of International application.

JP Kokai Publication No. H07-260459, Japanese Patent Office, published  
October 13, 1995, with English abstract..

JP Kokai Publication No. H07-270631, Japanese Patent Office, published  
October 20, 1995, with English abstract..

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed  
form PTO-1449 and that the references be made of record in the present application.

Respectfully submitted,



David N. Lathrop  
Reg. No. 34,655  
601 California St., Suite 1111  
San Francisco, CA 94108-2805  
Telephone: (415) 989-8080  
Facsimile: (415) 989-0910

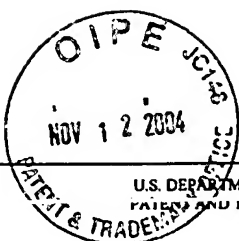
Enc. PTO Form 1449  
Cited references (6)  
Return receipt postcard

**Certificate of Mailing Under 37 CFR 1.8**

I certify that this Information Disclosure Statement and any  
enclosed materials are being deposited with the United States  
Postal Service on November 8, 2004 with sufficient postage  
as first class mail in an envelope addressed to Mail Stop  
Amendment, Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.



David N. Lathrop



Substitute Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEDOCKET  
KPO10102S.N.  
10/816,622

## INFORMATION DISCLOSURE STATEMENT

APPLICANT  
Kenji Ootsu, et al.FILING DATE  
April 2, 2004GROUP  
2874

## U.S. PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	FILING DATE
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

*Exam. Initial	Cite No.	DOCUMENT NUMBER Number - Kind Code	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES NO	
		JP H07-209308,	8-11-95	Japanese Patent Office, with English abstract.		X
		JP H07-151769	6-16-95	Japanese Patent Office, with English abstract.		X
		JP H09-304632	11-28-97	Japanese Patent Office, with English abstract.		X
		JP.H11-504123.	4-6-99	Japanese Patent Office, with front page of International application		X
		JP 07-260459	10-13-95	Japanese Patent Office, with English abstract.		X
		JP H07-270631	10-20-95	Japanese Patent Office, with English abstract.		X

## NON-PATENT LITERATURE DOCUMENTS

*Exam. Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TRANSLATION YES NO	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP; draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.